

# The envelope theory and the improved envelope theory: an overview of these approximation methods

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  - Why to generalize ?
  - Compact equations for the ET
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  - Concrete results
- **Conclusion : why should you use the envelope theory ?**

# The ET for systems of all identical particles

What is the ET ?

The ET provides approximation of spectrum for a very large class of Hamiltonians [1].

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For today, we will use:

$$H = \sum_{i=1}^N T(p_i) + \sum_{i < j=2}^N V(r_{ij})$$

$$\text{with } p_i = |\vec{p}_i| \text{ and } r_{ij} = |\vec{r}_i - \vec{r}_j|.$$

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The basic idea of the ET is to approximate this hamiltonian with a set of **harmonic oscillator (HO) Hamiltonian** [2].

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What is the ET ?

Remark about the  $N$  identical body HO:

$$H_{OH} = \sum_{i=1}^N \frac{\vec{p}_i^2}{2m} + \sum_{i < j=2}^N \rho \vec{r}_{ij}^2 - \frac{\vec{P}^2}{2Nm} \quad \text{with} \quad \vec{P} = \sum_{i=1}^N \vec{p}_i.$$

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The exact spectrum for this Hamiltonian can be analytically found [3]:

$$E_{n_i, l_i} = \sqrt{\frac{2N\rho}{m}} Q(N) \quad \text{with } Q(N) = \sum_{i=1}^{N-1} (2n_i + l_i + D/2)$$

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(We use natural units).

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Essential idea behind the ET

Aim: find the spectrum of this generic Hamiltonian

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To this end, we introduce an **auxiliary Hamiltonian**:

$$\tilde{H} = \sum_{i=1}^N \tilde{T}_i(p_i, \mu_i) + \sum_{i<j=2}^N \tilde{V}_{ij}(r_{ij}, \rho_{ij}).$$

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- $\mu_i$  and  $\rho_{ij}$  are called **auxiliary fields** [2]. For now, they depend on the variables  $\vec{p}_i$  and  $\vec{r}_i$ .

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- Illustration for the potential:

$$\tilde{V}_{ij}(r_{ij}, \rho_{ij}) = \rho_{ij} r_{ij}^2 + V(J(\rho_{ij})) + \rho_{ij} J(\rho_{ij})^2$$

where  $J(x)$  is the inverse of  $V'(x)/(2x)$ .

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⇒  $\tilde{H}$  looks like an HO Hamiltonian.

- Setting the constraints  $\frac{\delta \tilde{H}}{\delta \mu_i} = \frac{\delta \tilde{H}}{\delta \rho_{ij}} = 0$ ,  $H$  is recovered [2].

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Idea behind the ET: to replace the **auxiliary fields** by **auxiliary parameters** [2].  $\tilde{H}$  becomes then an HO Hamiltonian.

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The spectrum of  $H$  is approximately recovered by setting  $\frac{\partial \tilde{E}}{\partial \mu_i} \Big|_{\mu_i = \mu_{i0}} = \frac{\partial \tilde{E}}{\partial \rho_{ij}} \Big|_{\rho_{ij} = \rho_{ij0}} = 0$  where  $\tilde{E}$  is an energy level of  $\tilde{H}$  [2].

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Conclusion: for each energy level, the method gives a set  $\{\mu_{i0}, \rho_{ij0}\}$  that approximates  $H$ .

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⇒ Basically  $H$  is approximated by a set of auxiliary HO Hamiltonians, one for each level [2].

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⇒ Basically  $H$  is **approximated by a set of auxiliary HO Hamiltonians**, one for each level [2].

It can be shown that the constraints

$$\left. \frac{\partial \tilde{E}}{\partial \mu_i} \right|_{\mu_i = \mu_{i0}} = \left. \frac{\partial \tilde{E}}{\partial \rho_{ij}} \right|_{\rho_{ij} = \rho_{ij0}} = 0$$

lead to a set of three equations called **compact equations of the ET** [4].

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## Compact equations

**Practical user necessary informations:** let us take the following generic Hamiltonian

$$H = \sum_{i=1}^N T(|\vec{p}_i|) + \sum_{i < j=2}^N V(|\vec{r}_i - \vec{r}_j|),$$

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the next system gives an approximation for its spectrum [4]:

$$\begin{cases} E = NT(\rho_0) + C_N^2 V(\rho_0) \\ N\rho_0 T'(\rho_0) = C_N^2 \rho_0 V'(\rho_0) \\ \sqrt{C_N^2 \rho_0} \rho_0 = Q \end{cases}$$

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- with  $Q(N) = \sum_{i=1}^{N-1} (2n_i + l_i) + (N-1)\frac{D}{2}$ ,

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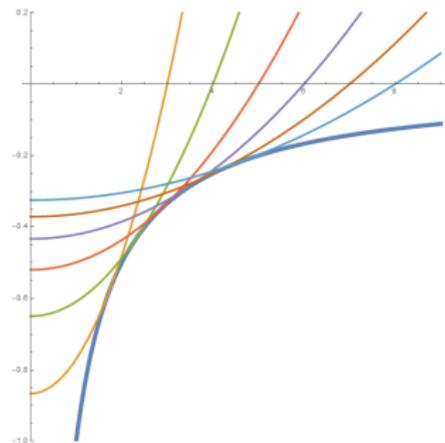
- with  $Q(N) = \sum_{i=1}^{N-1} (2n_i + l_i) + (N-1)\frac{D}{2}$ ,
- with,  $\forall i, j$ ,  $\rho_0^2 = \langle \vec{p}_i^2 \rangle$  and  $\rho_0^2 = \langle (\vec{r}_i - \vec{r}_j)^2 \rangle$ .

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## Commentary about ET

- **Variational character:** ET can give an upper or a lower bound [2].



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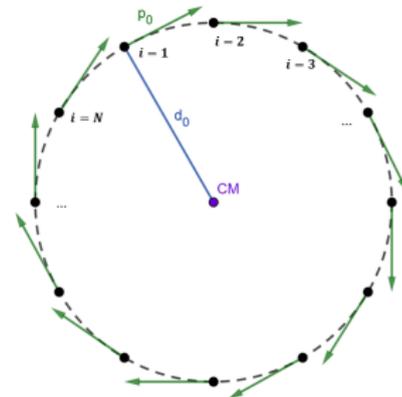
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[5] Semay (2015) *Few-Body Syst.*, **56**, 149

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- **Variational character:** ET can give an upper or a lower bound [2].
- The compact equations have a nice **semi-classical interpretation** [4].



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- The compact equations have a nice **semi-classical interpretation** [4].
- Solution may be **analytical** with  $N$  as a variable [5].

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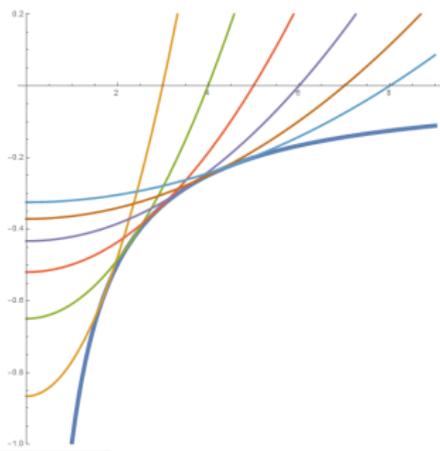
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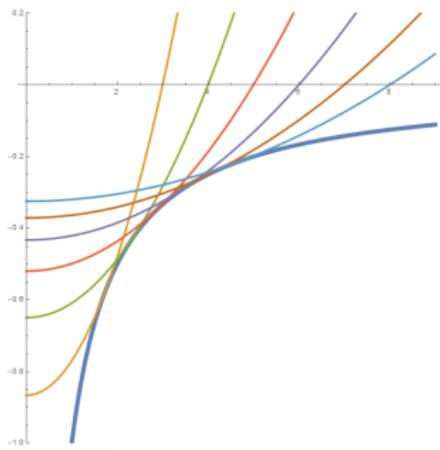
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- **Variational character:** for some Hamiltonian, the ET gives an upper or a lower bound [2].
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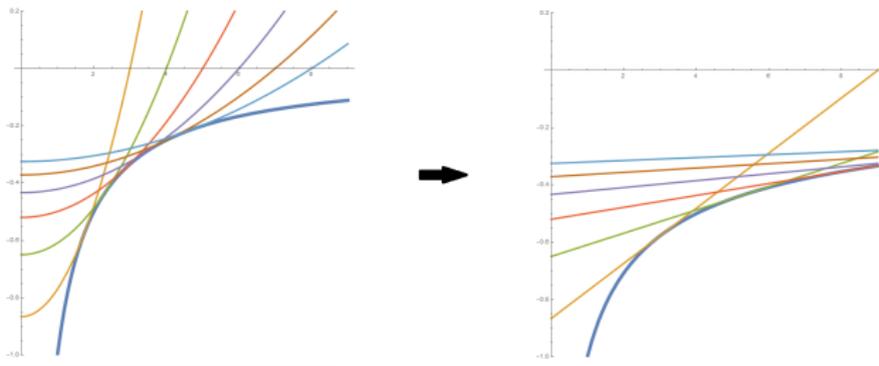
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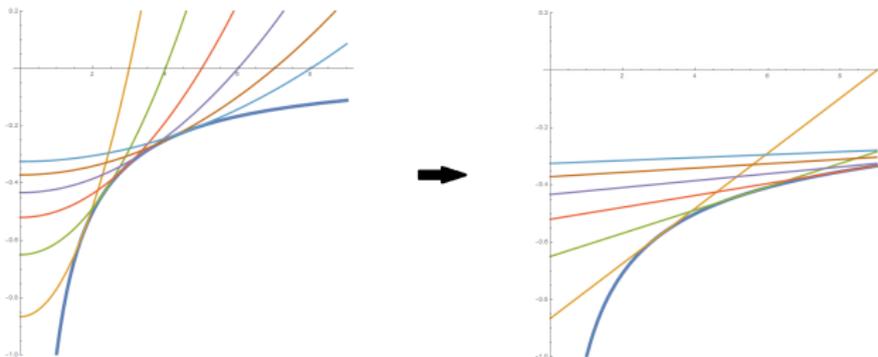


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  - **Warning:** this must be true for  $V$  and  $T$ 
    - if it is not the case  $\Rightarrow$  no defined variational character

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A semi-classical interpretation

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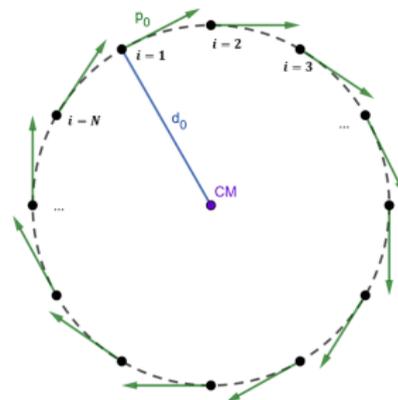
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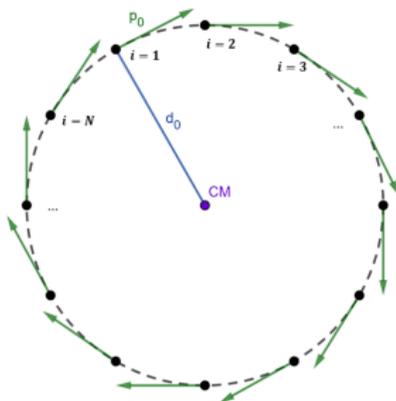
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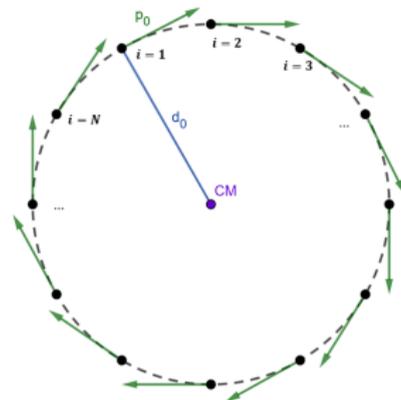
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- Energy:  $E \approx NT(p_0) + C_N^2 V(\rho_0)$
- Angular momentum:  
 $L = Nd_0 p_0 \approx \sqrt{C_N^2} \rho_0 p_0$



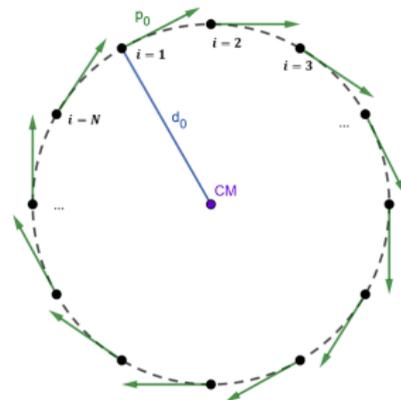
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$$\begin{cases} E = NT(p_0) + C_N^2 V(\rho_0) \\ \sqrt{C_N^2} \rho_0 p_0 = Q \\ Np_0 T'(p_0) = C_N^2 \rho_0 V'(\rho_0) \end{cases}$$

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$$\begin{cases} E = NT(p_0) + C_N^2 V(\rho_0) \\ \sqrt{C_N^2} \rho_0 p_0 = \emptyset \\ Np_0 T'(p_0) = C_N^2 \rho_0 V'(\rho_0) \end{cases}$$

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[4] Semay, Roland (2013) Res. Phys., 3, 231

# The ET for systems of all identical particles

Analyticity

Hamiltonian:  $T(p) = \frac{\vec{p}^2}{2m}$   $V(x) = ax^2$

⇒ "Approximated" spectrum:  $E = Q\sqrt{\frac{2N}{m}a}$

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$$E = \text{sgn}(\beta)(\beta + \alpha) \left( \left( \frac{NF}{|\beta|} \right)^\beta \left( \frac{G}{\alpha} \right)^\alpha \left( \sqrt{C_N^2} \right)^{2\alpha - \alpha\beta} Q^{\alpha\beta} \right)^{1/(\alpha + \beta)}$$

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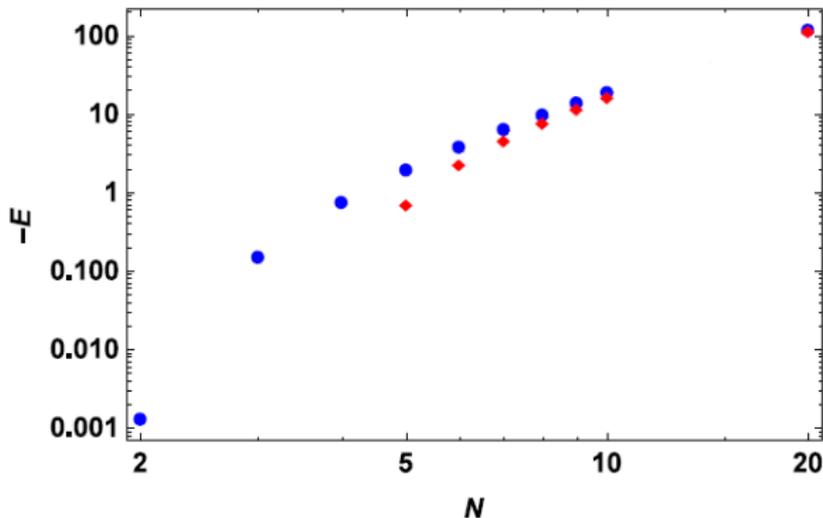
Hamiltonian:  $T(p) = \frac{\vec{p}^2}{2m}$   $V(x) = -V_g e^{-\frac{(x_i - x_j)^2}{a^2}}$

⇒ Approximated spectrum :  $E = -C_N^2 V_g e^{2W(\delta)} (2W(\delta) + 1)$  where

$$\delta = -\frac{1}{2} \left( \frac{N}{V_g 2m(C_N^2)^2 a^2} Q^2 \right)^{1/2} \text{ and } w(x) \text{ is a Lambert function.}$$

# The ET for systems of all identical particles

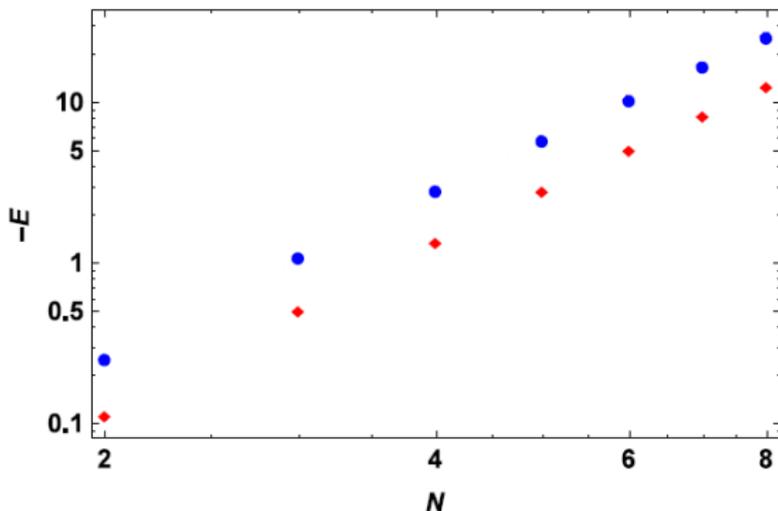
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**Figure:** Binding energy for weakly-interacting bosons (gaussian interaction) with  $D = 3$  - Exact results in circles, ET results in diamonds.

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**Figure:** Binding energy for self-gravitating bosons (coulomb interaction) with  $D = 3$  - Exact results in circles, ET results in diamonds.

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To improve the ET

- **The improved ET:**  $Q$  has a strong degeneracy.

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[7] Lobashev, Trunov (2009) J. Phys. A, **42**, 345202

[5] Semay (2015) Few-Body Syst., **56**, 149

[8] Semay (2015) Eur. Phys. J. Plus, **130**, 156

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$$Q = \phi \sum_{i=1}^{N-1} \left( n_i + \frac{1}{2} \right) + \sum_{i=1}^{N-1} l_i + (N-1) \frac{D-2}{2}.$$

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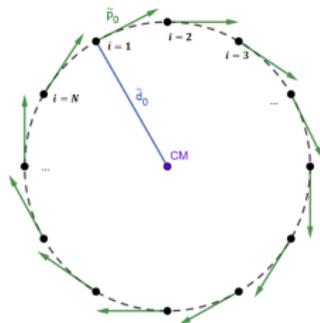
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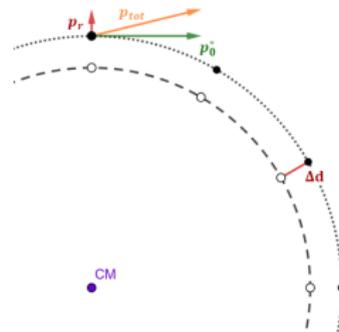
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$$\tilde{\rho}_0 \xrightarrow{\text{pert.}} \tilde{\rho}_0 + \Delta\rho$$

$$\tilde{\rho}_0 \xrightarrow{\text{pert.}} \sqrt{p_r^2 + \left( \frac{\lambda}{\sqrt{C_N^2}(\tilde{\rho}_0 + \Delta\rho)} \right)^2}$$



# The ET for systems of all identical particles

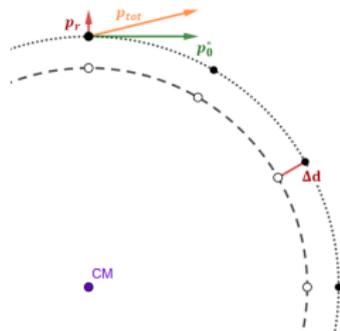
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$$\tilde{\rho}_0 \xrightarrow{\text{pert.}} \tilde{\rho}_0 + \Delta\rho$$

$$\tilde{p}_0 \xrightarrow{\text{pert.}} \tilde{p}_0 \left( 1 + \frac{p_r^2}{2\tilde{p}_0^2} - \frac{\Delta\rho}{\tilde{\rho}_0} + \frac{\Delta\rho^2}{\tilde{\rho}_0^2} \right)$$



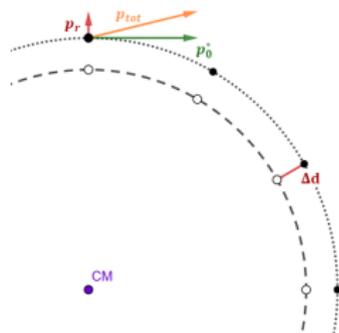
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$$\begin{aligned} \tilde{E}_0 &= NT(\tilde{\rho}_0) + C_N^2 V(\tilde{\rho}_0) \xrightarrow{\text{pert.}} \\ \Delta E &= \left( \frac{N}{2\tilde{\rho}_0} T'(\tilde{\rho}_0) \right) p_r^2 + \left( \frac{N\tilde{\rho}_0}{\tilde{\rho}_0^2} T'(\tilde{\rho}_0) \right. \\ &\quad \left. + \frac{N\tilde{\rho}_0^2}{2\tilde{\rho}_0^2} T''(\tilde{\rho}_0) + \frac{C_N^2}{2} V''(\tilde{\rho}_0) \right) \Delta\rho^2 \end{aligned}$$



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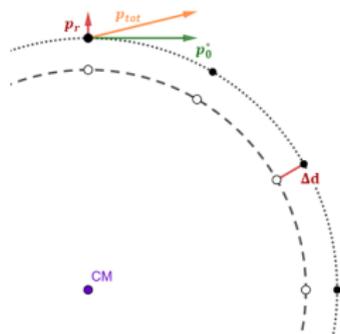
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$$\tilde{E}_0 = NT(\tilde{\rho}_0) + C_N^2 V(\tilde{\rho}_0) \xrightarrow{\text{pert.}}$$

$$\Delta E = \frac{p_r^2}{2\mu} + \frac{k}{2} \Delta \rho^2$$



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$$\Delta E = \frac{p_r^2}{2\mu} + \frac{k}{2}\Delta\rho^2 \rightarrow \Delta E = \sqrt{\frac{k}{\mu}} \left( n + \frac{1}{2} \right)$$

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$$\text{Test on HO} \rightarrow \sum_{i=1}^{N-1} \left( n_i + \frac{1}{2} \right) = \sqrt{C_N^2} \left( n + \frac{1}{2} \right)$$

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$$\begin{aligned} Q &= \phi \sum_{i=1}^{N-1} \left( n_i + \frac{1}{2} \right) + \lambda \\ &= \lambda \epsilon + \lambda \quad \text{avec } \epsilon = \frac{\phi}{\lambda} \sum_{i=1}^{N-1} \left( n_i + \frac{1}{2} \right) \end{aligned}$$

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- (2) To develop for  $\epsilon \ll 1$  (radial perturbation),

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$$\rho_0 = \tilde{\rho}_0 + \Delta\rho$$

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# The DOSM for systems of all identical particles

To apply the DOSM to the compact equations

(3) Determination of  $\phi$

$$\text{DOSM} \rightarrow \Delta E = \sqrt{\frac{k}{C_N^2 \mu}} \sum_{i=1}^{N-1} \left( n_i + \frac{1}{2} \right)$$

$$\text{improved ET} \rightarrow \Delta E = N \tilde{\rho}_0 T'(\tilde{\rho}_0) \frac{\phi}{\lambda} \sum_{i=1}^{N-1} \left( n_i + \frac{1}{2} \right)$$

# The DOSM for systems of all identical particles

To apply the DOSM to the compact equations

(3) Determination of  $\phi$

$$\phi = \frac{\lambda}{N\tilde{\rho}_0 T'(\tilde{\rho}_0)} \sqrt{\frac{k}{C_N^2 \mu}}$$

$$\text{with } \mu = \frac{\tilde{\rho}_0}{NT'(\tilde{\rho}_0)}$$

$$\text{and } k = \frac{2N\tilde{\rho}_0}{\tilde{\rho}_0^2} T'(\tilde{\rho}_0) + \frac{N\tilde{\rho}_0^2}{\tilde{\rho}_0^2} T''(\tilde{\rho}_0) + C_N^2 V''(\tilde{\rho}_0)$$

# The DOSM for systems of all identical particles

## Methodology

Methodology [8]:

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[8] Semay (2015) Eur. Phys. J. Plus, **130**, 156

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Methodology [8]:

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- To choose  $n_i$  and calculate  $Q$  (do not forget  $\phi$ ),
- To resolve ET compact equations with this  $Q$ .

$$\begin{cases} E = NT(\rho_0) + C_N^2 V(\rho_0) \\ \sqrt{C_N^2} \rho_0 p_0 = Q \\ Np_0 T'(\rho_0) = C_N^2 \rho_0 V'(\rho_0) \end{cases}$$

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# The ET for systems of all identical particles

## Example

Hamiltonian:  $T(x) = Fx^\alpha$   $V(x) = \text{sgn}(\beta)Gx^\beta$

⇒ Determination of  $\tilde{\rho}_0$  and  $\tilde{p}_0$ :

$$\tilde{\rho}_0 = \left( \frac{N\alpha F\lambda^\alpha}{|\beta|G\sqrt{C_N}^{\alpha+2}} \right)^{1/(\alpha+\beta)} \quad \text{and} \quad \tilde{p}_0 = \frac{\lambda}{\sqrt{C_N}\tilde{\rho}_0}$$

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⇒ Final spectrum:

$$E = \text{sgn}(\beta)(\beta + \alpha) \left( \left( \frac{NF}{|\beta|} \right)^\beta \left( \frac{G}{\alpha} \right)^\alpha \left( \sqrt{C_N} \right)^{2\alpha - \alpha\beta} Q^{\alpha\beta} \right)^{1/(\alpha+\beta)}$$

$$\text{with } Q = \sqrt{\alpha + \beta} \sum_{i=1}^{N-1} \left( n_i + \frac{1}{2} \right) + \lambda$$

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Result is from [9] arXiv:2111.14744 (to appear in Few-Body Syst.)

# The ET for systems of all identical particles

## Tests

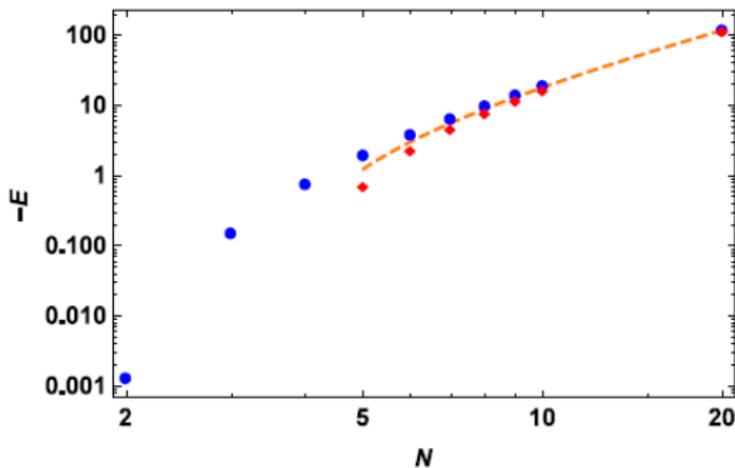
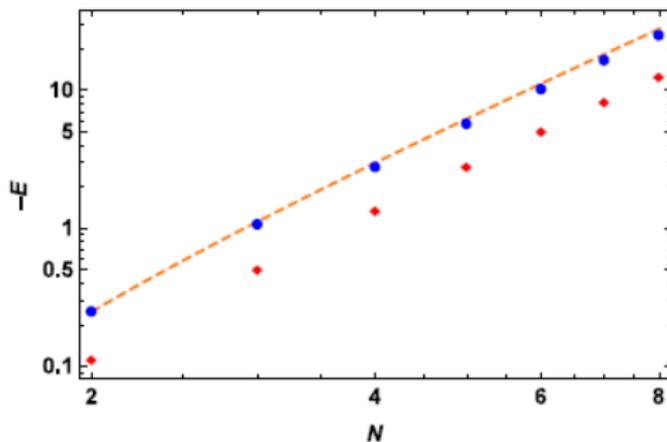


Figure: Binding energy for weakly-interacting bosons (gaussian interaction) with  $d = 3$  - Exact results in circles, ET results in diamonds,  $\phi = 1.82$  results in dashed line.

Results are from [5] Semay (2015) Few-Body Syst., **56**, 149

# The ET for systems of all identical particles

## Tests



**Figure:** Binding energy for self-gravitating bosons (coulomb interaction) with  $d = 3$  - Exact results in circles, ET results in diamonds,  $\phi = 1$  results in dashed line.

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# The ET for systems of all identical particles

## Tests

$n_1 + n_2$	$l_1 + l_2$	Exact	ET ( $\phi = 2$ )	ET ( $\phi = \sqrt{2}$ )
0	0	2.128	2.468	2.165
0	1	2.606	2.914	2.662
1	0	2.739	3.300	2.842
0	2	2.959	3.300	3.080
1	1	3.125	3.646	3.237
0	3	3.299	3.646	3.448
2	0	3.260	3.961	3.387
1	2	3.422	3.961	3.589
0	4	3.581	3.961	3.780
$\Delta$			15%	3.8%

**Table:** Eigenmasses in GeV given by a model of light baryons ( $D = 3$  and  $N = 3$ ).

# The ET for systems of all identical particles

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0	0	2.128	2.468	2.165
0	1	2.606	2.914	2.662
1	0	2.739	3.300	2.842
0	2	2.959	3.300	3.080
1	1	3.125	3.646	3.237
0	3	3.299	3.646	3.448
2	0	3.260	3.961	3.387
1	2	3.422	3.961	3.589
0	4	3.581	3.961	3.780
$\Delta$			15%	3.8%

**Table:** Eigenmasses in GeV given by a model of light baryons ( $D = 3$  and  $N = 3$ ).

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the next system gives an approximation for its spectrum [9]:

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Comparison with compact equations for systems of all identical particles:

$$\left\{ \begin{array}{l} E = NT(p_0) + C_N^2 V(\rho_0) \\ Np_0 T'(p_0) = C_N^2 \rho_0 V'(\rho_0) \\ \sqrt{C_N^2 \rho_0 p_0} = Q \end{array} \right. \longleftrightarrow \left\{ \begin{array}{l} E = N_a T_a(\pi'_0) + T_b(p_0) + C_{N_a}^2 V_{aa}(\rho_0) + N_a V_{ab}(\rho'_0) \\ T'_a(\pi'_0) \frac{\pi_0^2}{\pi_0} = C_{N_a}^2 V'_{aa}(\rho_0) \rho_0 + \frac{N_a - 1}{2} V'_{ab}(\rho'_0) \frac{\rho_0^2}{\rho'_0} \\ T'_b(p_0) p_0 + \frac{1}{N_a} T'_a(\pi'_0) \frac{\rho_0^2}{\pi_0} = N_a V'_{ab}(\rho'_0) \frac{\rho_0^2}{\rho'_0} \\ \pi_0 \rho_0 \sqrt{\frac{N_a - 1}{2}} = Q(N_a) \\ p_0 r_0 = Q(2) \end{array} \right.$$

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Strategy [10]:

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And after comparison of ET and DOSM, we get:

$$\phi_{N_a} = \frac{\lambda_{N_a}}{B_{N_a}} \sqrt{\frac{2A''}{(N_a - 1)m}} \quad \text{and} \quad \phi_b = \frac{\lambda_b}{B_b} \sqrt{\frac{B''}{m}}$$

where  $B_{N_a} = T_a''(\tilde{\pi}_0') \frac{\tilde{\pi}_0'^2}{\tilde{\pi}_0'}$  and  $B_b = T_b''(\tilde{\pi}_0') \frac{\tilde{p}_0'^2}{N_a \tilde{\pi}_0'} + T_b'(\tilde{p}_0') \tilde{p}_0'$ ,

$m = \sqrt{\mu_a \mu_b}$ ,

$$\begin{cases} A'' = \sqrt{\frac{\mu_b}{\mu_a}} k_a \\ B'' = \sqrt{\frac{\mu_b}{\mu_a}} k_b \end{cases} \quad \text{if } k_c = 0,$$

$$\begin{cases} A'' = \sqrt{\frac{\mu_b}{\mu_a}} k_a - \frac{k_c}{2} \\ B'' = \sqrt{\frac{\mu_b}{\mu_a}} k_a + \frac{k_c}{2} \end{cases} \quad \text{if } \epsilon = \frac{1}{k_c} \left( \sqrt{\frac{\mu_a}{\mu_b}} k_b - \sqrt{\frac{\mu_b}{\mu_a}} k_a \right) = 0,$$

$$\begin{cases} A'' = \sqrt{\frac{\mu_b}{\mu_a}} k_a - \frac{k_c}{2} \left( \frac{\epsilon}{|\epsilon|} \sqrt{1 + \epsilon^2} - \epsilon \right) \\ B'' = \sqrt{\frac{\mu_b}{\mu_a}} k_b + \frac{k_c}{2} \left( \frac{\epsilon}{|\epsilon|} \sqrt{1 + \epsilon^2} - \epsilon \right) \end{cases} \quad \text{if } \epsilon \neq 0,$$

$$\mu_a = \frac{\tilde{\pi}_0'}{T_a''(\tilde{\pi}_0')} \quad \text{and} \quad \mu_b = \left( \frac{T_a''(\tilde{\pi}_0')}{N_a \tilde{\pi}_0'} + \frac{T_b''(\tilde{p}_0')}{\tilde{p}_0'} \right)^{-1},$$

$$k_a = \frac{T_a''(\tilde{\pi}_0') \tilde{\pi}_0'^4}{N_a \tilde{\rho}_0'^2 \tilde{\pi}_0'^2} + \frac{T_a''(\tilde{\pi}_0') \tilde{\pi}_0'^2}{\tilde{\rho}_0'^2} \left( \frac{3}{\tilde{\pi}_0'} - \frac{\tilde{\pi}_0'^2}{N_a \tilde{\pi}_0'^3} \right) + C_{N_a}^2 V_{aa}''(\tilde{\rho}_0')$$

$$+ \frac{(N_a - 1)^2 \tilde{p}_0'^2}{4 N_a \tilde{\rho}_0'^2} V_{ab}''(\tilde{\rho}_0') + \frac{(N_a - 1)}{2} \left( \frac{1}{\tilde{\rho}_0'} - \frac{(N_a - 1) \tilde{\rho}_0'^2}{2 N_a \tilde{\rho}_0'^3} \right) V_{ab}'(\tilde{\rho}_0'),$$

$$k_b = \frac{T_b''(\tilde{\pi}_0') \tilde{p}_0'^4}{N_a^3 \tilde{r}_0'^2 \tilde{\pi}_0'^2} + \frac{T_b''(\tilde{p}_0') \tilde{p}_0'^2}{\tilde{r}_0'^2} + \frac{T_a''(\tilde{\pi}_0') \tilde{p}_0'^2}{N_a \tilde{r}_0'^2} \left( \frac{3}{\tilde{\pi}_0'} - \frac{\tilde{p}_0'^2}{N_a^2 \tilde{\pi}_0'^3} \right)$$

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## Tests

$$\text{Test: } H = \sum_{i=1}^3 |\vec{p}_i| + (\vec{r}_1 - \vec{r}_2)^2 + \kappa \sum_{i=1}^2 (\vec{r}_i - \vec{r}_3)^2 \quad (D = 3)$$

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(0, 0, 0, 0)	0.1	5.288	5.597	5.5	5.307	0.4
	10	14.506	15.352	5.8	14.699	1.3
(0, 0, 1, 1)	0.1	7.515	7.868	4.7	7.625	1.5
	10	20.340	21.580	6.1	21.032	3.4
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	10	19.134	20.272	5.9	19.291	0.8
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Results are from [10] arXiv:2111.14744 (to appear in Few-Body Syst.)

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[11] Semay, Sicorello (2018) Few-Body Syst., **59**, 119

[10] Semay, Cimino, Willemyns (2020) Few-Body Syst., **61**, 19

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